Analysing Literary Texts from a Formalist Approach:
Some reflections on Tayeb Salih’s “A Handful of Dates”

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Abstract

To analyse fiction requires more than the content knowledge of a
text. In the last century, and for more than seven decades, there were
many attempts to approach literature from a scientific perspective by a
growing number of critics. Their goal was to examine aesthetic and

moral aspects of any literary product, relying on its form (how it is said or written) rather than its content (what is said or written), a practice that could have met the norms of modernity and that would have led to more objectivity in literary studies. This present study is primarily devoted to literature in the era of formalism, the features and principles of the latter, as well as a practical activity for the students to consider concrete exemplification. Additionally, by reviewing some related literature and, at the same time, relying on our observations, this study also aims to investigate the study of literary texts from a historical perspective.

1. A Brief History of Formalism

The formalist tradition developed in Russian literary criticism in the late nineteenth century and beginning of the twentieth, especially in the work of the Moscow Linguistic Circle. The most well-known and influential figure of Russian Formalism was Roman Jakobson (1896-1982) whose work focused on defining the qualities of what he termed ‘poetic language’. Jakobson advocated that the poetic function of language is realised in those communicative acts where the focus is on the message rather than the emotions of the author. Jakobson’s ideas and work and ideas had a considerable influence on the development of other studies such as stylistics.

2. Indentification of the Formalist Approach

The Formalist Approach aims to make of a literary work a scientific object, focusing on the text’s internal workings. Therefore, this approach can be simply identified through the perception of the following distinctive features.

- Literature can be read from different perspectives.
The literariness or artfulness of a work of literature which makes it an aesthetic object, lies entirely in its devices, which should also form the sole object of literary studies.

The formalist approach focuses on the form of the literary text itself.

The formalist approach regards literature as a very specific unit of human knowledge that has to be examined on its own terms and for its own sake.

Russian Formalism and American New Criticism can be considered as two facets of the same coin. Both approaches emphasised the analysis of texts through close readings. Moreover, they rejected the author’s biography and highlighted instead the literariness of the text (ibid.).

2.1 Advantages

Below are some strong points of the formalist approach as far as the researchers are concerned. They stem out of the connections they make between Linguistics, their major field of interest, and Literary Criticism.

- Literature can be read from different perspectives.

- The literariness of a work of literature which makes it an aesthetic object, lies entirely in its devices, which should also form the sole object of literary studies.

- The formalist approach focuses on the form of the literary text itself.
• The formalist approach regards literature as a very specific unit of human knowledge that has to be examined on its own terms and for its own sake.

2.2 Disadvantages

Nonetheless, formalism received a counteroffensive in the 1950s. A concrete example of this harsh criticism of formalism is Herbert Read’s article ‘Farewell to Formalism’ in which he refuses to accept some principles of analysis which could not account for the artist’s sensibility (Tekiner, 2006, pp. 36-37). The researchers consider the following as weak points in the formalist approach:

• The author’s biography is not important.

• The political, economic, cultural, and social circumstances in which the text was written are not important.

• Defamiliarisation which seeks to enhance the readers’ perception of the text is important.

• Focus on form, organisation, structure, word choice, and multiple language is important.

• the shift from the moral approach to the scientific one is important.

• The actual reader is not important.

3. A Checklist of Formalist Critical Questions

Here is a list of some questions from a formalist perspective. These questions can be applicable to the analysis of a short story or a novel where the ultimate aim is to approach meaning through several aspects
of the form. Students are not, in fact, limited to the present list, but are, in the meantime, invited to think of other vivid representations that seek to equate between form and content.

- How is the work structured or organised? What is its plot?
- What is its theme?
- How are its parts related to one another?
- Who is narrating or telling what happens? How is the narrator, speaker, or character revealed to readers?
- Who are the major and minor characters, what do they represent, and how do they relate to one another?
- What is the setting of the work? How is the setting related to what we know of the characters and their actions?
- What kind of language does the author use to describe, narrate, and explain in the literary work? What images, similes, metaphors, and symbols does the author use in the work? What is their function?

4. A Sample Activity: Analysing “A Handful of Dates” (by Tayeb Salih) from a Formalist perspective. Below are just some answers to questions taken from the preceding sections.

**Question (1):** How is the work structured or organised? What is the work’s plot?

The storyteller was with his grandfather when they started talking about a man called Masood. The grandfather tells the storyteller that he owns 2/3 of the land Masood inherited from his father. The grandfather disdains Masood because he never had to work for what he was given and it seems like this is a driving motivation to continue obtaining land from him. The storyteller sees a harvest of dates, where at the end the
grandfather states that Masood still owes him fifty pounds (of dates). This whole interaction saddens and sickens the storyteller so much so that he runs away from his grandfather and vomits the few dates he had eaten.

Question (2): What is the theme?

The short story uses many different themes and literary techniques to tell a story of an innocent young boy discovering life’s hardships. The three introductory paragraphs, for instance, contain background information about the grandfather, and a boy. These paragraphs reveal that the boy is young, innocent, very intelligent, and possesses a great love for nature. His grandfather is a man of power ….

Question (3): Who is narrating or telling what happens in the work?

The boy is narrating the story from his own perspective (first person narrator). The reader receives the whole story from this perspective.

Question (4): What is the setting of the work? How is the setting related to what we know of the characters and their actions?

The setting is a harvest day in Masood’s date field, where various people from the town come, help and eat some of the dates. Once the harvesting has been finished, the grandfather four other men divide the product and take their share away. The grandfather and the four men are considered to be the winners; whereas, Masood, having many wives, has always been seen as a loser, for most of his land has been sold to the boy’s grandfather.

Conclusion

On the basis of what has gone before, one may conclude that Formalists came to see literature in systemic terms. In other words, they assumed that literary texts must have inherent qualities i.e., texts should
share common features which distinguish them from other pieces of writing in other contexts. In addition, unlike structuralists who thought that the text as a whole has a function, formalists’ function of the text has to do with how some devices achieve meaning. Examples of these devices are simile, metaphor, and recurrence of particular words and expressions. However, in reality, texts function as coherent structures; that is, all devices in literary texts are interrelated.

References


Appendixes

Appendix 1:A Handful of Dates by Tayeb Salih

I must have been very young at the time. While I don't remember exactly how old I was, I do remember that when people saw me with my grandfather they would pat me on the head and give my cheek a pinch - things they didn't do to my grandfather. The strange thing was that I never used to go out with my father, rather it was my grandfather
who would take me with him wherever he went, except for the mornings, when I would go to the mosque to learn the Koran. The mosque, the river, and the fields - these were the landmarks in our life. While most of the children of my age grumbled at having to go to the mosque to learn the Koran, I used to love it. The reason was, no doubt, that I was quick at learning by heart and the Sheik always asked me to stand up and recite the Chapter of the Merciful whenever we had visitors, who would pat me on my head and cheek just as people did when they saw me with my grandfather. Yes, I used to love the mosque, and I loved the river, too. Directly we finished our Koran reading in the morning I would throw down my wooden slate and dart off, quick as a genie, to my mother, hurriedly swallow down my breakfast, and run off for a plunge in the river. When tired of swimming about, I would sit on the bank and gaze at the strip of water that wound away eastwards, and hid behind a thick wood of acacia trees. I loved to give rein to my imagination and picture myself a tribe of giants living behind that wood, a people tall and thin with white beards and sharp noses, like my grandfather. Before my grandfather ever replied to my many questions, he would rub the tip of his nose with his forefinger; as for his beard, it was soft and luxuriant and as white as cotton wool - never in my life have I seen anything of a purer whiteness or greater beauty. My grandfather must also have been extremely tall, for I never saw anyone in the whole area address him without having him look up at him, nor did I see him enter a house without having to bend so low that I was put in mind of the way the river wound round behind the wood of acacia trees. I loved him and would imagine myself, when I grew to be a man, tall and slender like him, walking along with great strides. I believe I was his favorite grandchild: no wonder, for my cousins were a stupid bunch and I - so they say - was an intelligent child. I used to know when my grandfather wanted me to laugh, when to be silent; also I would remember the times for his prayers and would bring him his prayer rug and fill the
ewer for his ablutions without his having to ask me. When he had nothing else to do he enjoyed listening to me reciting to him from the Koran in a lilting voice, and I could tell from his face that he was moved. One day I asked him about our neighbor Masood. I said to my grandfather: I fancy you don't like our neighbor Masood? To which he answered, having rubbed the tip of his nose: He's an indolent man and I don't like such people. I said to him: What's an indolent man? My grandfather lowered his head for a moment; then, looking across the wide expanse of field, he said: Do you see it stretching out from the edge of the desert up to the Nile bank? A hundred feddans. Do you see all those date palms? And those trees - sant, acacia, and sayal? All this fell into Masood's lap, was inherited by him from his father. Taking advantage of the silence that had descended on my grandfather, I turned my gaze from him to the vast area defined by words. I don't care, I told myself, who owns those date palms, those trees or this black, cracked earth - all I know is that it's the arena for my dreams and my playground. My grandfather then continued: Yes, my boy, forty years ago all this belonged to Masood - two-thirds of it is now mine. This was news for me, for I had imagined that the land had belonged to my grandfather ever since God's Creation. I didn't own a single feddan when I first set foot in this village. Masood was then the owner of all these riches. The position had changed now, though, and I think that before Allah calls me to Him I shall have bought the remaining third as well. "I do not know why it was I felt fear at my grandfather's words - and pity for our neighbor Masood. How I wished my grandfather wouldn't do what he'd said! I remembered Masood's singing, his beautiful voice and powerful laugh that resembled the gurgling of water. My grandfather never laughed. I asked my grandfather why Masood had sold his land. Women, and from the way my grandfather pronounced the word I felt that women was something terrible. Masood, my boy, was a much-married man. Each time he married he sold me a feddan or two. I made
the quick calculation that Masood must have married some ninety women. Then I remembered his three wives, his shabby appearance, his lame donkey and its dilapidated saddle, his galabia with the torn sleeves. I had all but rid my mind of the thoughts that jostled in it when I saw the man approaching us, and my grandfather and I exchanged glances.

We'll be harvesting the dates today, said Masood. Don't you want to be there?
I felt, though, that he did not really want my grandfather to attend. My grandfather, however, jumped to his feet and I saw that his eyes sparkled momentarily with an intense brightness. He pulled me by the hand and we went off to the harvesting of Masood's dates. Someone brought my grandfather a stool covered with an oxhide, while I remained standing. There was a vast number of people there, but though I knew them all, I found myself for some reason watching Masood: aloof from that great gathering of people he stood as though it were no concern of his, despite the fact that the date palms to be harvested were his own. Sometimes his attention would be caught by the sound of a huge clump of dates crashing down from on high. Once he shouted up at the boy perched on the very summit of the date palm who had begun hacking at a clump with his long, sharp sickle: Be careful you don't cut the heart of the palm. No one paid any attention to what he said and the boy seated at the very summit of the date palm continued, quickly and energetically, to work away at the branch with his sickle till the clump of dates began to drop like something descending from the heavens. I, however, had begun to think about Masood's phrase, the heart of the palm. I pictured the palm tree as something with feeling, something possessed of a heart that throbbed. I remembered Masood's remark to me when he had once seen me playing with the branch of a young palm tree: Palm trees, my boy, like humans,
experience joy and suffering. And I had felt an inward and unreasoned embarrassment. When I again looked at the expanse of ground stretching before me I saw my young companions swarming like ants around the trunks of the palm trees, gathering up dates and eating most of them. The dates were collected into high mounds. I saw people coming along and weighing them into measuring bins and pouring them into sacks, of which I counted thirty. The crowd of people broke up, except for Hussein the merchant, Mousa the owner of the field next to ours on the east, and two men I'd never seen before. I heard a low whistling sound and saw that my grandfather had fallen asleep. Then I noticed that Masood had not changed his stance, except that he had placed a stalk in his mouth and was munching at it like someone sated with food who doesn't know what to do with the mouthful he still has. Suddenly my grandfather woke up, jumped to his feet, and walked toward the sacks of dates. He was followed by Hussein the merchant, Mousa the owner of the field next to ours and two strangers. I glanced at Masood and saw that he was making his way toward us with extreme slowness, like a man who wants to retreat but whose feet insist on going forward. They formed a circle around the sacks of dates and began examining them, some taking a date or two to eat. My grandfather gave me a fistful, which I began munching. I saw Masood filling the palms of both hands with dates and bringing them up close to his nose, then returning them. Then I saw them dividing up the sacks between them. Hussein the merchant took ten; each of the strangers took five. Mousa the owner of the field next to ours on the eastern side took five, and my grandfather took five. Understanding nothing, I looked at Masood and saw that his eyes were darting to left and right like two mice that have lost their way home. You're still fifty pounds in debt to me, said my grandfather to Masood. We'll talk about it later. Hussein called his assistants and they brought along the donkeys, the two strangers produced camels, and the sacks of dates were loaded onto them. One of the donkeys let out a braying which set the camels frothing at the mouth and complaining noisily. I
felt myself drawing close to Masood, felt my hand stretch out toward him as though I wanted to touch the hem of his garment. I heard him make a noise in his throat like the rasping of a sheep being slaughtered. For some unknown reason, I experienced a sharp sensation of pain in my chest. I ran off into the distance. Hearing my grandfather call after me, I hesitated a little, then continued on my way. I felt at that moment that I hated him. Quickening my pace, it was as though I carried within me a secret I wanted to rid myself of. I reached the riverbank near the bend it made behind the wood of acacia trees. Then, without knowing why, I put my finger into my throat and spewed up the dates I'd eaten.

Appendix 2: صلاة حفنة من التمر للطيب صالح

لا بدّ إنني كنت صغيراً جداً حينذاك. لست أذكر كم كان عمري تماماً، ولكنني أذكر أن الناس حين كانوا يرونني مع جدي كانوا يبرتون على رأسى، ويفرصنوني في خدي، ولم يكونوا يفعلون ذلك مع جدي. العجيب أنني لم أكن أخرج أبداً مع أبي، ولكن جدي كان يأخذني معه حينما ذهب، إلا في الصباح حين كنت أذهب إلى المسجد، لحفظ القرآن.

المسجد والنهر والحقول، هذه كانت معالم حياتنا. أغلب أندادي كانوا يتبرمون بالمسجد وحفظ القرآن ولكني كنت أحب الذهاب إلى المسجد. لابد أن السبب أنني كنت سريع الحفظ، وكان الشيخ يطلب مني دائماً أن أقف وأقرأ سورة الرحمن، كلما جاءنا زائر. وكان الزوار يرتون على خدي ورأسى، تماماً كما كانوا يفعلون حين يرونني مع جدي.

نعم كنت أحب المسجد. وكانت أيضاً أحب النهر. حالماً نفرغ من قراءتنا وقت الضحى، كنت أرمي لوحي الخشبي، وأجري كالجن إلى أمي، والتهم إفطاري بسرعة شديدة وأجري.
إلى النهر وأغمي في حين أكل من السباحة، كنت أجلس على الحافة وأتأمل الشاطئ الذي ينحني في الشرق ويمتئ وراء غابة كثيفة من شجر الطلح. كنت أحب ذلك. كنت أسرح بخيالي، وأصور قبيلة من العمالقة يعيشون وراء تلك الغابة. قوم طوال فحال لهم لحى بيضاء وأنف حادة مثل أنف جدي. أنف جدي كان كبيراً حاداً. قبل أن يجيب جدي على أسئلتي الكثيرة، كان دائماً يحك طرف أنفه بسماسته. ولعبت جدي كانت غازيرة ناعزة ببيض كاذبقطن. لم أرى في حياتي بيضاء أخرى، إلا أنني لم أرى أحداً في سائر البلد يكلم جدي إلا وهو يطلع عليه من أسفل، ولم أر جدي يدخل بيتاً إلا وكان ينحني احناءً كبيرة تذكرني بانحناء النهر وراء غابة الطلح. كان جدي طويلاً ونحيلناً، وكن حت أحبه وأتخيل نفسي، حين استوتي رجلاً أذرع الأرض مثله في خطوات واسعة.

وأظن جدي كان يؤثرني دون بقية أحفاده. ولست ألمو، فأولاد أعمامي كانوا أغنياء وكنت أنا طفلاً ذكياً. هكذا قالوا لي. كنت أعرف متي يريدني جدي أن أضحك وما متي يريدني أن أستوتي. كنت أسكت ما أعرف، وكنت أتذكر مواعيد صلاته، فاجدري له (ال صلى الله عليه وسلم) وأملك له الإبريق قبل أن يطلب ذلك مني. كان يلبس له في ساعات راحته أن يستمع إلي أقرأ له من القرآن بصوت منغم، وكن كنت أعرف من وجه جدي أنه أيضاً كان يطربي له.

سألته ذات يوم عن جارنا مسعود. قلت لجدي: (أظن لا تحب جارنا مسعود؟) فأجاب...
بعد أن حك طرف أنهفه بسبابته: (لأنه رجل خامل وأنا لا أحب الرجل الخامل). قلت له:

(وما الرجل الخامل؟) فأطرق جدي برهة ثم قال لي: (انظر إلى هذا الحقل الواسع. ألا تراه يمتد من طرف الصحراء إلى حافة النيل مائة فدان؟ هذا النخيل الكثير هل تراه؟ وهذا الشجر؟ سنط وطلح وسئال. كل هذا كان حالاً بارداً لمسعود، ورثه عن أبيه). وانتهت الصمت الذي نزل على جدي، فحولت نظرتي عن لحيته وأدرته في الأرض الواسعة التي حددتها لي بكماته. (لست أبالي من يملك هذا النخيل ولا ذلك الشجر ولا هذه الأرض السوداء المشفقة. كل ما أعره أنها مسرح أحلامي ومرتع ساعات فراحي). بدأ جدي يواصل الحديث: (نعم يا بني. كانت كلها قبل أربعين عاماً ملكاً لمسعود. فثانياً الآن لي أنا). كانت هذه حقيقة مثيرة بالنسبة لي، فقد كنت أحسب الأرض ملكاً لجدي منذ صباه بخلق الله الأرض. (ولم أكن أملك فداناً واحداً حين وطئت قدماي هذا البلد. وكان مسعود يملك كل هذا الخير. ولكن الحال انقلب الآن، وأظنني قبل أن يتوفاني الله سأشترتي الثلث الباقيء أيضاً).

لست أدرى لماذا أحسست بخوف من كلمات جدي. وشعرت بالعطف على جارة مسعود. ليت جدي لا يفعل! وذكرت غناء مسعود وصوته الجميل وضحكته القوية التي تشبه صوت الماء المدلوقة. جدي لم يكن يضحك أبداً. وسألت جدي لماذا يبيع مسعود أرضه؟ (النساء). وشعرت من نطق جدي للكلمة أن (النساء) شيء فظيع. (مسعود يا أرضاه؟).
بنيَّ رجل مزواجه كل مرة تزوج امرأة باع لي فدناً أو فدانين). وبسرعة حسبت في ذهني أن مسعود لا بد أن تزوج تسعين امرأة، وذكترت زوجاته الثلاث وحالة المبهدل وحمارته العرجاء وسرجه المكسور وجلبابه الممزق الأيدي. وكذبت أنخلص من الذكري التي جاشت في خاطري، لولا أنني رأيت الرجل قادماً نحونا، فنظرت إلى جدي ونظر إليّ.

وقال مسعود: ((سنحصد التمر اليوم، ألا تريد أن تحضر؟))

(وأحسست أنه لا يريد جدي أن يحضر بالفعل. ولكن جدي هب واقفاً، ورأيت عينه تلمع ببرهة بريق شديد، وشدني من يدي وذهنبنا إلى حصاد تمر مسعود. وجاء أحد لجدي بمقعد عليه فروة ثور. جلس جدي وظللت أنا واقفاً. كانوا خلقاً كثيراً. كنت أعرفهم كلهم، ولكنني لسبب ما أخذت أراقب مسعوداً. كان واقفاً بعيداً عن ذلك الحشد، كأن الأمر لا يعنيه، مع أن النخيل الذي يحصد كان نخله هو، وأحياناً يلفت نظره صوت تسبيطة ضخمة من التمر وهي تهوي من علٍ. ومرة صاح بالصبي الذي استوى فوق قمة النخلة، وأخذ يقطع السبيط بمنجله الطويل الحاد (حاذر لا تقطع قلب النخلة)). ولم ينتبه أحد لما قال، واستمر الصبي الجالس فوق قمة النخلة يعمل منجله في العرجون بسرعة ونشاط، وأخذ السبيط يهوي كشيء ينزل من السماء. ولكنني أنا أخذت أفكر في قول مسعود: ((قلب النخلة)) وتصورت النخلة شيئًا يحس له قلب يبض. وتذكرت قول مسعود لي مرة حين رأني أعبث بجريد نخلة صغيرة: ((النخل يا بنيٌّ كالآدميين يفرح}}
وتباآل). وشعرت بحياة داخلي لم أجد له سبباً. ولما نظرت مرة أخرى إلى الساحة
الممتدة أمامي رأيت رفقاء الأطفال يمرون كأنهم تحت جذوع النخل يجمعون التمر
ويأكلون أكثره. واجتمع التمر أكواباً عالية. ثم رأيت قواماً أقبلوا وأخذوا يكيلونه بمكابيل
وصبونه في أكياس. وعادت منها ثلاثين كيساً، وانفض الجمع عدا حسين التاجر
وموسى صاحب الحقل المجاور لحقلنا من الشرق، ورجلين غربيين لم أرهم من قبل.

وسعت صفراً خافتاً، فالتقت فإذا جدي قد نام، ونظرت فإذا مسعود لم يغير وقتته
ولكنه وضع عوداً من القصب في فمه وأخذ يمضغه مثل شخص شبع من الأكل وبيقت
في فمه لقمة واحدة لا يدري ماذا يفعل بها. وفجأة استيقظ جدي وهب واقفاً ومشى نحو
أكياس التمر وطبع حسيا التاجر وموسى صاحب الحقل المجاور لحقلنا والرجلان
الغربيان، وسرت أنا وراء جدي. ونظرت إلى مسعود فرأيته يقف على نفحة ببطء شديد كرجل
يريد أن يرجع ولكن قدمه تزيد أن تسير إلى أمام، وتحلقوا كلهما حول أكياس التمر
وأخذوا يفحصونه وبعضهم أخذ منه حبة أو حبتين فأكلها، وأعطاني جدي قبصة من
التمر فأخذت صغيّة. ورأيت مسعوداً ينام، راحته من التمر ويبريه من أنفه ويشربه طويلاً.
ثم عيده إلى مكانه. ورأيتهم يتقاسمونه، حسنا التاجر أخذ عشرة أكياس، والرجلان
الغربيان كل منهما أخذ خمسة أكياس. وموسى صاحب الحقل المجاور لحقلنا من ناحية
الشرق أخذ خمسة أكياس، ودعي أخذ خمسة أكياس. ولم أفهم شيئاً. ونظرت إلى مسعود
فرأيته زائغ العينين تجري عيناه شمالاً ويمنياً كأنهما فآراً صغيران تاها عن حجرهما.
وقال جدي لمسعود: ما زلت مديناً لي بخمسين جنيها نتحدث عنها فيما بعد، ونادي حسين صبيانه فجاؤوا بالحمير، والرجلان الغريبان جاءا بخمسة جمال. ووضعت أكياس التمر على الحمير والجمال، وقه أحد الحمير وأخذ الجمل يرغي ويصيح. شعرت بنفسي أقرب من مسعود، وشعرت ببيدي تمتد إليه كأنني أردد أن ألم طرف ثوبه.
وسمعته يحدث صوتاً في حلقه مثل شخير الحمل حين يذبح. ولست أدرى السبب، ولكني أحست بألم حاد في صدري. وعندت مبتدأنا. وشعرت أني أكره جدي في تلك اللحظة. وأسرعت العدو كأنني أحمل في داخل صدري سراً أود أن أتخلص منه. ووصلت إلى حافة النهر قريبًا من منحناه وراء غابة الطلح. ولست أدرى السبب، ولكني أدخلت إصبعي في حلقي وتفتتات التمر الذي أكلته.